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**State of Sergipe
State Secretariat of Planning, Budget and
Innovation
(SEPLAN)**

**Sergipe Efficient Digital Acceleration Project
(P500570)**

Draft

**ENVIRONMENTAL AND SOCIAL COMMITMENT
PLAN**

September 4, 2024

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The State of Sergipe (the Borrower) will implement the Sergipe Efficient Digital Acceleration Project (the Project), with the involvement of the *Secretaria Especial de Planejamento, Orçamento e Inovação* (SEPLAN), as set out in the Loan Agreement. The International Bank for Reconstruction and Development (the Bank) has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Loan Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure in accordance with the ESSs, and in a form and substance, and in a manner acceptable to the Bank. Once adopted, such E&S instruments may be revised from time to time with prior written agreement of the Bank.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower through the SEPLAN, and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and SEPLAN's Secretary. The Borrower shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s), and a summary of activities and main outcomes of the ESHS management of contractors (please see action C, below).</p>	<p>Submit semi-annual reports to the Bank throughout Project implementation starting from the Effective Date. Submit each report to the Bank no later than 60 days after the end of each reporting period.</p>	SEPLAN (PIIU)
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate. Subsequently, at the Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Bank no later than 48 hours after learning of the incident or accident.</p> <p>Send a subsequent report to the Bank within a timeframe acceptable to the Bank.</p>	SEPLAN (PIU)
C	<p>CONTRACTORS’ MONTHLY REPORTS</p> <p>Require contractor firms (for civil works) to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts and submit a summary of such reports to the Bank as part of the Semiannual Progress Reports.</p>	<p>Submit the monthly reports to the Bank upon request or, ordinarily, submit the summary under action A above.</p>	SEPLAN (PIU)
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE SEPLAN</p> <p>Establish and maintain a Project Implementation Unit (PIU) under SEPLAN, which is tasked with ESHS management, with qualified staff and resources to support the management of ESHS risks and impacts of the Project, including the permanent support of an environmental specialist and a social development specialist (with communications & stakeholder engagement expertise).</p>	<p>Issuing a Decree establishing the PIU as one of the conditions of effectiveness. Having the technical staff for environmental and social risk management as set out in the Loan Agreement no later than 60 days after the Effective Date, and subsequently maintain these positions during Project implementation.</p>	SEPLAN (PIU)

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	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
1.2	<p>ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORK Finalize, disclose, adopt, and implement the Project's Environmental and Social Management Framework (ESMF) - developed in a manner consistent with the relevant ESSs - to guide the management of environmental and social risks during Project implementation.</p>	Adopt and disclose the ESMF no later than 60 days after the Effective Date. Thereafter implement the ESMF throughout Project implementation,	SEPLAN (PIU)
1.3	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT Prepare and submit an environmental and social assessment report on the fiber network deployment (Component 1) for the Bank's no objection.</p>	Submit the report assessment for the Bank's prior review and no objection before starting and as a condition for starting the implementation of the respective interventions.	SEPLAN (PIU)
1.4	<p>ENVIRONMENTAL AND SOCIAL TECHNICAL SPECIFICATIONS Ensure that the entities/contractors responsible for implementing the civil works supported by the Project include the Environmental and Social Technical Specifications (ESTS) in the bidding documents for civil works as well as other guidelines and procedures comprised in the ESMF for the adequate management of environmental and social risks and impacts, during their activities, when applicable, and as established in the ESMF.</p>	ESTS shall be part of the ESMF. Include the ESTS in bidding documents for design and construction as part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation.	SEPLAN (PIU)
1.5	<p>MANAGEMENT OF CONTRACTORS Incorporate the relevant aspects of this ESCP, including, inter alia, guidelines and procedures comprised in the ESMF for the adequate management of environmental, social, Occupational Health and Safety (OHS) risks and impacts, during their activities, when applicable, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. These guidelines and procedures are established in the Environmental and Social Technical Specifications (ESTS). Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	As part of the preparation of the procurement documents and related contracts. Supervise the contracts throughout the implementation of the Project.	SEPLAN (PIU)
1.6	<p>TECHNICAL ASSISTANCE Ensure that the consultancies, technical studies, capacity building, training, and any other technical assistance activities supported under the Project are carried out in accordance with terms of reference acceptable to the Bank that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout the implementation of the Project.	SEPLAN (PIU)

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.7	<p>ACTIVITIES SUBJECT TO RETROACTIVE FINANCING</p> <p>Carry out an ESHS due diligence of the activities subject to retroactive financing and reflect such due diligence in a report, which shall also include any corrective action plans or measures that are identified pursuant to such due diligence, in accordance with the ESSs. Subsequently, implement any corrective action plans or measures, as applicable.</p>	Submit a due diligence report whenever there is a request for reimbursement of expenses for activities subject to retroactive financing. Any corrective action plans or measures identified in such report shall be implemented in a manner and timeframe acceptable to the Bank.	SEPLAN (PIU)
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT</p> <p>a) Comply and ensure that contractors, subcontractors, and supervising firms comply with the requirements of the Brazilian Labor Law, including provisions on working conditions, fair treatment, freedom of association, forced labor and child labor</p> <p>b) Provide information and training on core elements of the Brazilian Labor Legislation, Occupational Health and Safety standards and grievance redressing to Project workers at the time they are hired, and ensure compliance to these standards by Project workers (including those engaged by contractors, subcontractors, and supervising firms).</p>	<p>a) Throughout Project implementation.</p> <p>b) At admission, and thereafter ensure their compliance with these normative throughout Project implementation.</p>	SEPLAN (PIU)
2.2	<p>CODE OF CONDUCT</p> <p>a) Develop, as part of the ESMF, a code of conduct (including with respect to SEA and SH) to be complied with by all Project workers, including consultants, contractors and subcontractors, that carry out any activity within the Project's scope.</p> <p>b) Provide information, carry out awareness raising campaigns, and cause Project workers to abide by the material measures to combat discrimination, moral and sexual harassment, abuse, and exploitation as stated in the Code of Conduct and the country legislation.</p>	<p>a) Same as action 1.2 above</p> <p>b) Disseminate the Code of Conduct to Project workers at the time they are hired, and thereafter ensure compliance with the Code of Conduct.</p>	SEPLAN (PIU)
2.3	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Ensure that all workplace concerns raised by project workers are promptly addressed in a transparent and without retribution manner through the specific uptake channels for Project Workers in the State Ombudsman System.</p>	Establish grievance mechanism before hiring Project workers and subsequently maintain and operate it throughout Project implementation.	SEPLAN(PIU) with support of the General Ombudsman Office (GOO)
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>E&S MANAGEMENT OF CIVIL WORKS AND RENOVATIONS</p>	Same timeframe as action 1.4.	SEPLAN(PIU)

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Develop, implement, and cause contractors to implement the Environmental and Social Technical Specifications (ESTS) for Project interventions associated with civil works and renovations that define, in a manner commensurate with the E&S risks and impacts of these activities, the preventive, mitigating and corrective measures to be adopted by the contractor(s) to prevent and control the E&S impacts associated with the execution of the works (as relevant), consistent with the Project's ESMF and ESS 3. The ESMF shall contain, at a minimum, adequate measures to address the following issues: hazardous and non-hazardous waste management, e-waste, dust and noise control, chemical handling, signage and communication, reporting, monitoring, and supervision.		
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Incorporate resource efficiency and pollution prevention and management measures into the ESTS (where relevant, technically, and financially feasible), to be incorporated as E&S requirements of contracting and/or procurement and adopted along with the other requirements defined in the ESMF, and consistent with ESS 3.	Same timeframe as action 1.4.	SEPLAN(PIU)
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	EMERGENCY PREPAREDNESS AND RESPONSE MEASURES Ensure the adoption and implementation of adequate measures for emergency preparedness and response to emergency scenarios (accidents, incidents with direct workers, unit employees, beneficiaries and community members, fires, chemical leaks, among other relevant ones) as part of the ESMF and ESTS requirements for civil works (as applicable), consistent with ESS 4.	Same timeframe as action 1.4.	SEPLAN(PIU)
4.2	TRAFFIC AND ROAD SAFETY Incorporate measures to manage traffic and road safety risks as required in the ESTS to be adopted and implemented under action 1.4 above	Same timeframe as action 1.4.	SEPLAN(PIU)
4.4	SEA AND SH RISKS Establish in the Code of Conduct for Project workers (to be developed as part of the Action 2.2, above) measures to prevent, control and penalize sexual harassment, exploitation and abuse. Disseminate, adopt and implement the Code of Conduct among all Project workers.	Same timeframe than action 2.2.	SEPLAN(PIU)
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
This standard is not relevant for the Project.			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
This standard is not relevant for the Project.			
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL			

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
7.1	SOCIAL ASSESSMENT Prepare, consult, adopt, disclose, the Social Assessment of impacts on Indigenous Peoples as part of the ESMF for the Project, consistent with ESS7.	Same timeframe as action 1.2.	SEPLAN (PIU)
7.2	CONSULTATION AND ENGAGEMENT Prepare, consult, adopt, disclose, and implement a specific consultation and engagement strategy for Indigenous Peoples as set out in the SEP and consistent with ESS7.	Draft SEP disclosed before appraisal. Submit the final SEP for the Bank’s prior review and no objection, adopt, and disclose the SEP no later than 60 days of the Effective Date, and thereafter implement the SEP throughout Project implementation.	SEPLAN (PIU)
7.3	COMMERCIAL USE OF CULTURAL HERITAGE Ensure that any activities that will involve or promote the commercial use of cultural heritage are developed and implemented following the guidelines established in the ESMF and SEP and consistent with ESS7.	Throughout the implementation of the Project.	SEPLAN (PIU)
ESS 8: CULTURAL HERITAGE			
8.1	CULTURAL HERITAGE PROTECTION AND CHANCE FIND Ensure that cultural heritage protection and supervision measures (including those from chance find) are incorporated as part of the Environmental and Social Technical Specifications (ESTS) of construction and renovation works, which may involve excavation and earthwork, in accordance with the principles and requirements set out in the ESMF and in a manner consistent with ESS 8.	Same timeframe than actions 1.3 and 1.4.	SEPLAN (PIU)
7.3	COMMERCIAL USE OF CULTURAL HERITAGE Ensure that any activities that will involve or promote the commercial use of cultural heritage are developed and implemented following the guidelines established in the ESMF and SEP and consistent with ESS8.	Throughout the implementation of the Project.	SEPLAN (PIU)
ESS 9: FINANCIAL INTERMEDIARIES			
This standard is not relevant for the Project.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Prepare, disclose, and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation. The SEP shall include specific measures for engagement with Indigenous Peoples and other traditional, vulnerable, and disadvantaged groups.	No later than 60 days of the Effective Date, and subsequently implement it throughout the Project implementation.	SEPLAN(PIU) with support of the General Ombudsman Office (GOO)

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Operate the State Grievance Mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>Prepare the State Grievance Mechanism to receive, track and monitor Project-related grievances no later than 60 days after the Effective Date, and subsequently maintain and operate the mechanism throughout Project implementation.</p>	<p>SOO with the support of the SEPLAN (PIU).</p>
CAPACITY SUPPORT			
CS1	<p>Provide training to the environmental and social staff of the PIU and of partner implementing agencies on: i) aspects to be considered in the environmental and social assessment of civil works, and other Project activities of relevant environmental and social risk; ii) environmental and social management and supervision according to the Environmental and Social Management Handbook, iii) aspects to be considered in the implementation of the activities foreseen in the Stakeholders Engagement Plan referring to each of the Project's planned interventions.</p>	<p>Periodically, throughout the implementation of the Project, holding the first session no later than 90 days of the Effective Date.</p>	<p>SEPLAN(PIU)</p>
CS2	<p>Provide Project Workers guidance on: Occupational Health and Safety; measures to prevent SEA/SH; and the proper code of conduct for relationships with the population of local communities in Project intervention areas.</p>	<p>Periodically, throughout the implementation of the Project, holding the first session no later than 90 days of the Effective Date.</p>	<p>SEPLAN(PIU)</p>